

Policy for Inactive Client

Batlivala & Karani Securities India Pvt. Ltd. is an institutional stock broker, which caters to the trading needs of institutional clients such as Bank, Insurance Companies, Mutual Fund, SEBI Registered FII etc. The trading account of a client is set-up based on the account related information such as UCC, CP Code etc. provided by the client at the time of account opening and after such information is verified with the custodians.

In the case of Institutional clients has not traded over a period of 2 year, the details are again verified with the custodian in order to understand if there is any change in the account maintained. Further the client is also contacted and the details of the account are again confirmed.

In the case of selective corporate clients who are empanelled with us, the policy of treating the accounts as inactive will be if the client has not traded with us for over a period of 1 year. A client whose account has become inactive has to request to the company in writing or by any other approved mode acceptable to the company to activate the account. Company may inform the client to submit any credential or documents before activating the accounts. Activation of an inactive account is at the sole discretion of the company.